

# Thermodynamics of simple cubic Hubbard model – dynamical mean-field study

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# Electrons in crystal

Hamiltonian for electrons in crystalline potential

$$H = \sum_{i=1}^N \frac{(-i\hbar\nabla_i)^2}{2m} + \sum_{i=1}^N V_{ions}(\vec{r}_i) + \sum_{i=1}^N \sum_{j < i}^N U_{Coulomb}(\vec{r}_i - \vec{r}_j)$$

The diagram illustrates the components of the Hamiltonian. Three red arrows point from labels below the equation to specific terms:

- A red arrow points from the label "Kinetic energy" to the first term,  $\sum_{i=1}^N \frac{(-i\hbar\nabla_i)^2}{2m}$ .
- A red arrow points from the label "Periodic potential from ions" to the second term,  $\sum_{i=1}^N V_{ions}(\vec{r}_i)$ .
- A red arrow points from the label "Coulomb interactions between electrons" to the third term,  $\sum_{i=1}^N \sum_{j < i}^N U_{Coulomb}(\vec{r}_i - \vec{r}_j)$ .

# Electrons in crystal without Coulomb interaction

Hamiltonian for electrons in crystalline potential

$$H = \sum_{i=1}^N \frac{(-i\hbar\nabla_i)^2}{2m} + \sum_{i=1}^N V_{ions}(\vec{r}_i) + \sum_{i=1}^N \sum_{j < i} U_{Coulomb}(\vec{r}_i - \vec{r}_j)$$

Bloch's wave functions (single particle):

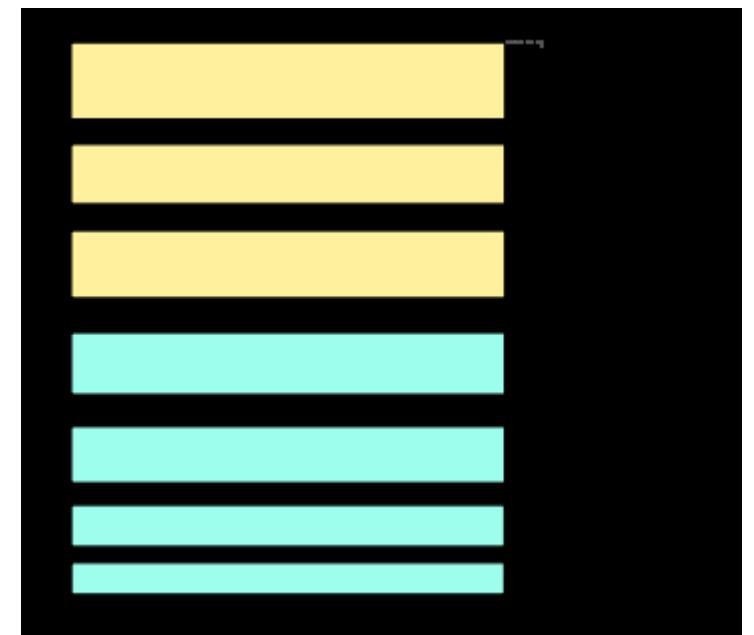
$$\psi_{n\vec{k}}(\vec{r}) = e^{i\vec{k}\vec{r}} u_{n\vec{k}}(\vec{r})$$

$$n = 0, 1, \dots$$

Possible amount of  $\vec{k}$  the same as number of lattice sites

Fermi temperature for Copper:  $8.16 \times 10^4 K$

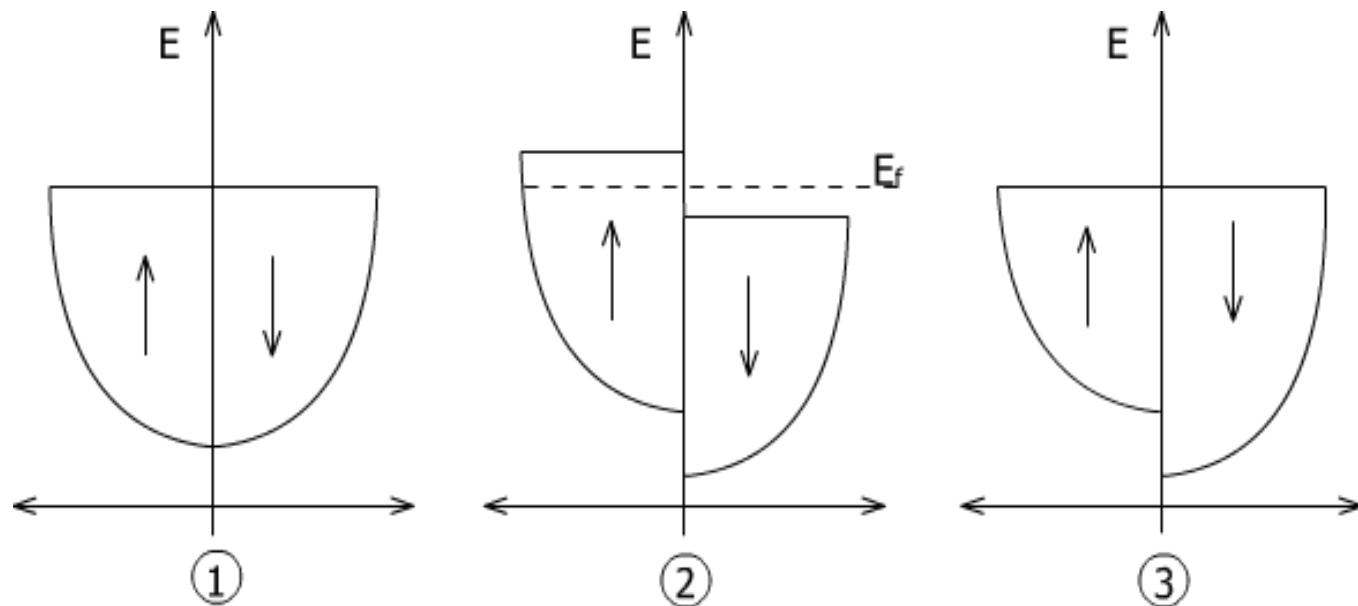
Band structure



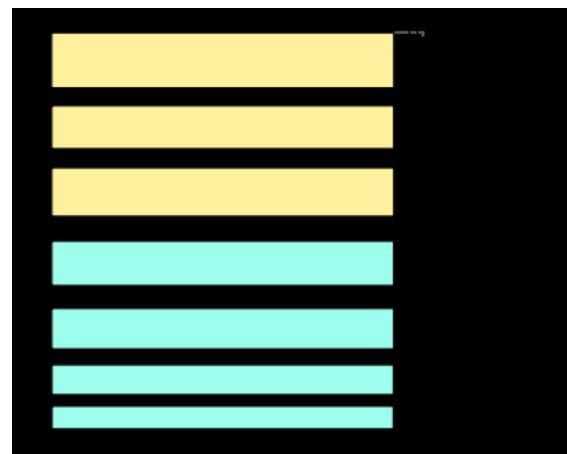
# Electrons in crystal without Coulomb interaction – possible magnetic properties

Pauli paramagnetism:

$$\chi = 2\mu_B^2 \rho(E_F)$$



Band insulator - nonparamagnetic

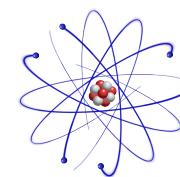
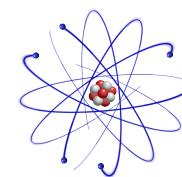
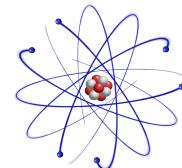
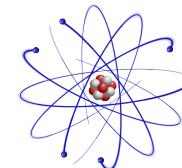


# Electrons in crystal – atomic limit

$$H = \sum_{i=1}^N \frac{(-i\hbar\nabla_i)^2}{2m} + \sum_{i=1}^N V_{ions}(\vec{r}_i) + \sum_{i=1}^N \sum_{j < i} U_{Coulomb}(\vec{r}_i - \vec{r}_j)$$

Simplified, effective Hamiltonian:

$$H = -\mu_B B \sum_{i=1}^N (n_{i\uparrow} - n_{i\downarrow})$$



Curie's law:

$$\chi \sim \frac{1}{k_B T}$$

# Hubbard Model

## Electron correlations in narrow energy bands

BY J. HUBBARD

that a possible approximation is to neglect all the integrals (8) apart from  $I$ . If this approximation, the validity of which is discussed in greater detail below, is made, then the Hamiltonian of (6) becomes

$$H = \sum_{i,j} \sum_{\sigma} T_{ij} c_{i\sigma}^{\dagger} c_{j\sigma} + \frac{1}{2} I \sum_{i,\sigma} n_{i\sigma} n_{i,-\sigma} - I \sum_{i,\sigma} \nu_{ii} n_{i\sigma}, \quad (10)$$

$$H = t \sum_{(i,j)} \sum_{\sigma=\uparrow,\downarrow} \hat{c}_{i\sigma}^{\dagger} \hat{c}_{j\sigma} + \sum_i U \hat{n}_{i\uparrow} \hat{n}_{i\downarrow}$$
$$\hat{n}_{i\sigma} \equiv \hat{c}_{i\sigma}^{\dagger} \hat{c}_{i\sigma}$$

Motivation:

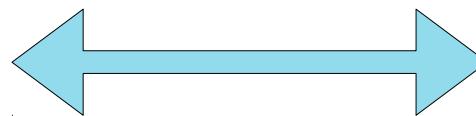
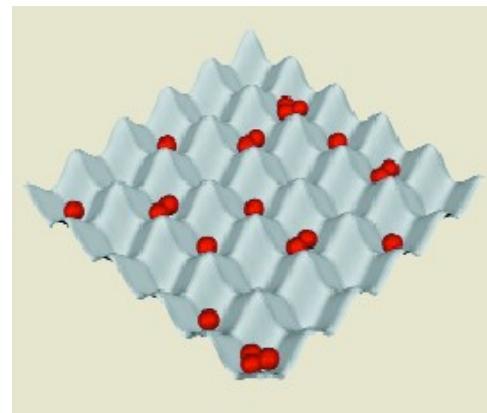
d-electrons in transition metals exhibit both kinds of behavior:

-atomic limit

-noninteracting system

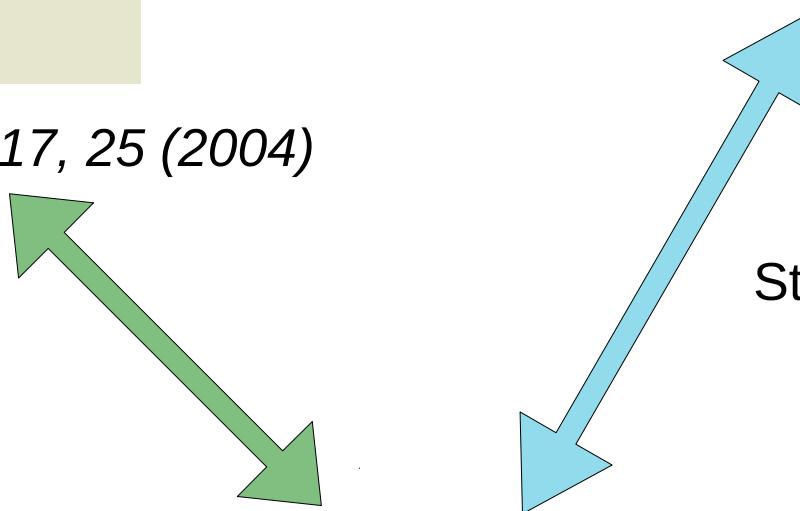
# Optical lattices

Neutral particles in optical lattice



Interacting electrons in materials

I. Bloch, Phys. World 17, 25 (2004)



Strongly correlated materials

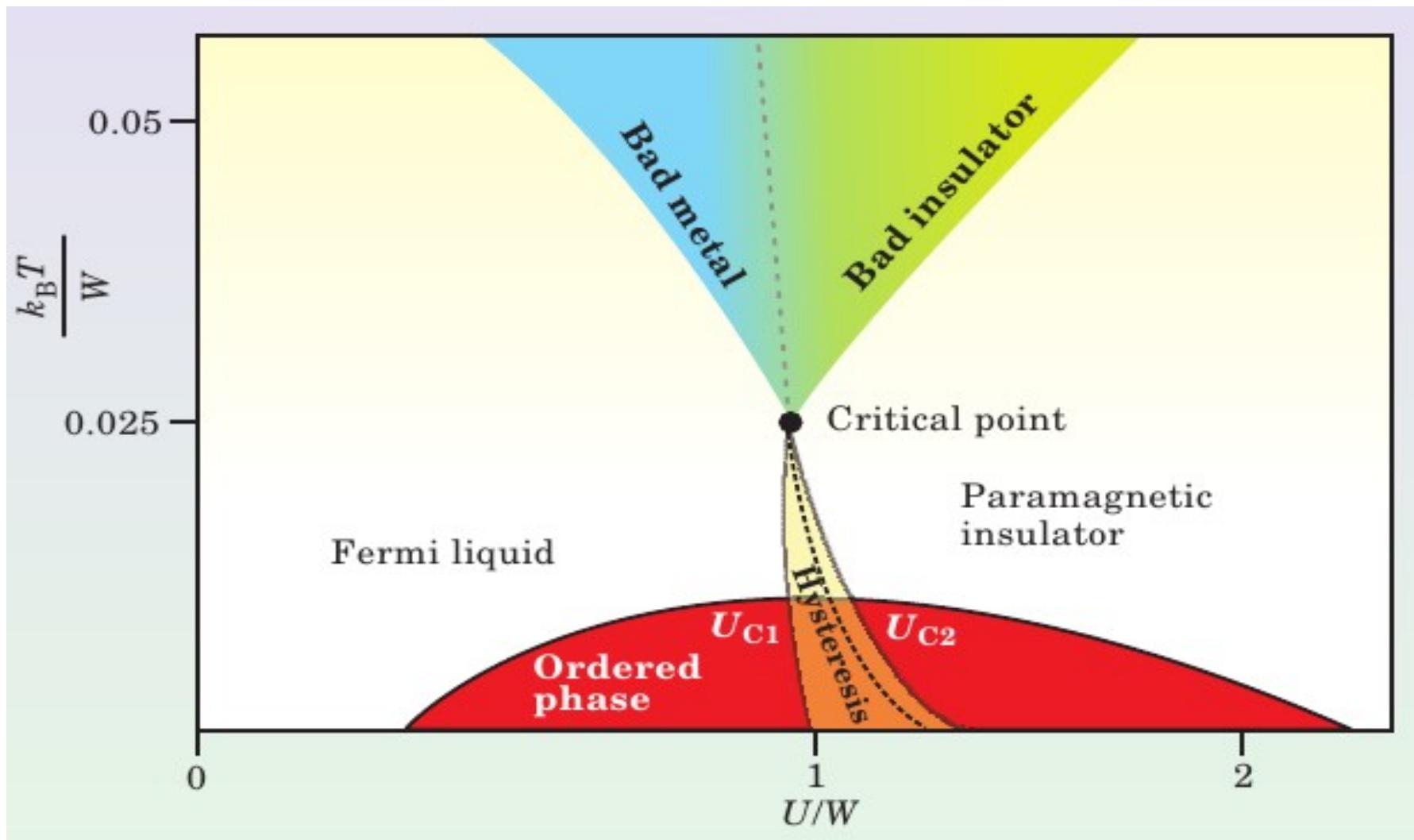
Electron correlations in narrow energy bands

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# Hubbard model – schematic phase diagram at half-filling (dmft)



Kotliar and Vollhardt, Phys. Today 57, 53-60 (2004)

**A Mott insulator of fermionic atoms in an optical lattice**

NATURE | Vol 455 | 11 September 2008

# Hubbard model - challenge

Without rigorous (analytical) solution approximate methods indispensable!

Numerical simulations

Quantum emulators (optical lattices)

Approximated method:

- Hartree-Fock theory
- Green function decoupling method
- slave Boson approach
- dynamical mean field theory**
- ....

# Motivation and aim of our work

$$H = t \sum_{(i,j)} \sum_{\sigma=\uparrow,\downarrow} \hat{c}_{i\sigma}^\dagger \hat{c}_{j\sigma} + \sum_i U \hat{n}_{i\uparrow} \hat{n}_{i\downarrow}$$

cubic lattice, equilibrium state (grand canonical ensemble)

half-filling  $\mu = U/2$

paramagnetic state

(one of motivations: comprehensive thermodynamic within one method, in one place as a reference to other methods)

Comprehensive thermodynamics within dynamical mean-field theory?

Phase diagram (metal-insulator transition)

Charge susceptibility:

$$\kappa_c = \left( \frac{\partial n}{\partial \mu} \right)_T$$

Internal energy:

$$\langle H \rangle \quad \xrightarrow{\hspace{1cm}} \quad \text{specific heat}$$

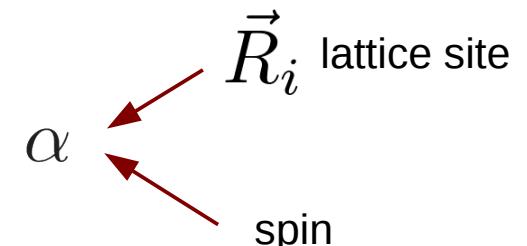
Double occupation:

$$d \equiv \lim_{\infty} \frac{1}{N} \left\langle \sum_i \hat{n}_{i\uparrow} \hat{n}_{i\downarrow} \right\rangle$$

# Green function

Grand canonical potential (thermodynamics):

$$\Xi = \sum_{N, \gamma_N} \langle \gamma_N | e^{-\beta(H - \mu \hat{N})} | \gamma_N \rangle$$



One-particle Green function:

$$G_{\alpha\beta}(\tau, \tau') = -\langle T_\tau \hat{c}_\alpha(\tau) \hat{c}_\beta^\dagger(\tau') \rangle$$

$$\langle \dots \rangle = \frac{1}{\Xi} \sum_{N, \gamma_N} \langle \gamma_N | e^{-\beta(H - \mu \hat{N})} \dots | \gamma_N \rangle$$

“time” ordering

$$T_\tau \hat{c}_\alpha(\tau) \hat{c}_\alpha^\dagger(\tau') = \begin{cases} \hat{c}_\alpha(\tau) \hat{c}_\alpha^\dagger(\tau') & \text{dla } \tau > \tau' \\ -\hat{c}_\alpha^\dagger(\tau') \hat{c}_\alpha(\tau) & \text{dla } \tau < \tau' \end{cases}$$

Operator in modified Heisenberg picture

$$\hat{c}_\alpha(\tau) = e^{\tau(H - \mu N)} \hat{c}_\alpha e^{-\tau(H - \mu N)}$$

# Method: dynamical mean field theory

- W. Metzner, D. Vollhardt, Phys. Rev. Lett. 59, 121 (1987)
- A. Georges, G. Kotliar, W. Krauth, M. J. Rozenberg, Rev. Mod. Phys. 68, 13 (1996)

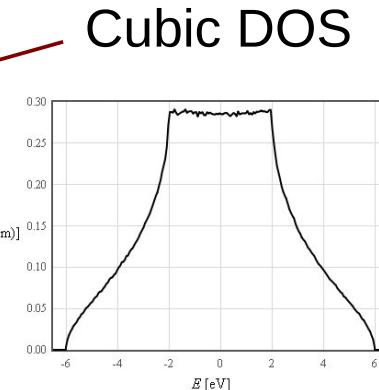
Dyson equation:

$$G_{00}(i\omega_n) = \int_{-\infty}^{\infty} d\epsilon \frac{D(\epsilon)}{i\omega_n - \epsilon - \Sigma(i\omega_n) + \mu}$$

Consequences of high dimension limit (local problem):

$$G_{00}(\tau, \tau') = -\langle c_{0\sigma}(\tau) c_{0\sigma}^*(\tau') \rangle_{S_{\text{eff}}} [\mathcal{G}_0]$$

$$G_{00} = \mathcal{G}_0 + \mathcal{G}_0 \Sigma G_{00}$$



$$S_{\text{eff}} = \sum_{\sigma=\uparrow,\downarrow} \int_0^\beta d\tau \int_0^\beta d\tau' c_{0\sigma}^*(\tau) (\partial_\tau - \mu) c_{0\sigma}(\tau') + \int_0^\beta d\tau U n_{0\downarrow}(\tau) n_{0\uparrow}(\tau)$$

$$+ \sum_{\sigma=\uparrow,\downarrow} \int_0^\beta d\tau \int_0^\beta d\tau' c_{0\sigma}^*(\tau) \Delta(\tau, \tau') c_{0\sigma}(\tau')$$

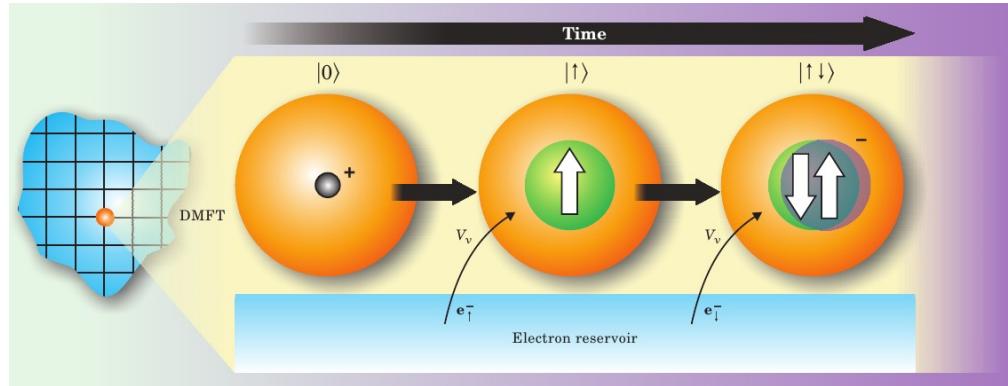
CT-QMC

# Single impurity problem

Anderson, P. W. (1961). Phys. Rev., 124, 41

$$H = t \sum_{(i,j)} \sum_{\sigma=\uparrow,\downarrow} \hat{c}_{i\sigma}^\dagger \hat{c}_{j\sigma} + U \hat{n}_{0\uparrow} \hat{n}_{0\downarrow}$$

$$\Xi = \sum_{N, \gamma_N} \langle \gamma_N | e^{-\beta(H - \mu \hat{N})} | \gamma_N \rangle$$



Kotliar and Vollhardt, Phys. Today 57, 53-60 (2004)

Resummation over noninteracting sites

$$\Xi = \int D\phi^* D\phi e^{-S_{eff}} \quad S_{eff} = \sum_{\sigma=\uparrow,\downarrow} \int_0^\beta d\tau \int_0^\beta d\tau' c_{0\sigma}^*(\tau) (\partial_\tau - \mu) c_{0\sigma}(\tau') \\ + \int_0^\beta d\tau U n_{0\downarrow}(\tau) n_{0\uparrow}(\tau) \\ + \sum_{\sigma=\uparrow,\downarrow} \int_0^\beta d\tau \int_0^\beta d\tau' c_{0\sigma}^*(\tau) \Delta(\tau, \tau') c_{0\sigma}(\tau')$$

Gull et al. Rev Mod Phys 83, 349 (2011)

Hirsch, J. E., and R. M. Fye, Phys. Rev. Lett. 56, 2521 (1986,)

# Continuous time – hybridization expansion quantum Monte Carlo

Continuous time: Prokof'ev, N. V., and B. V. Svistunov, 1998, Phys. Rev. Lett. 81, 2514

$$\Xi = \Xi_0 \sum_{k=0}^{\infty} \frac{1}{k!} \int_0^{\beta} d\tau_1 \int_0^{\beta} d\tau'_1 \dots \int_0^{\beta} d\tau_k \int_0^{\beta} d\tau'_k \sum_{\sigma_1, \sigma'_1, \dots, \sigma_k, \sigma'_k} \times \langle T_{\tau} c'_{\sigma_1}(\tau'_1) c^{\dagger}_{\sigma_1}(\tau_1) \dots c'_{\sigma_k}(\tau'_k) c^{\dagger}_{\sigma_k}(\tau_k) \rangle_{\text{local}} \\ \times \frac{1}{k!} \text{Det} \begin{bmatrix} \Delta(\tau_{\sigma_1}, \tau'_{\sigma_1}) & \Delta(\tau_{\sigma_1}, \tau'_{\sigma_2}) & \dots & \Delta(\tau_{\sigma_1}, \tau'_{\sigma_k}) \\ \dots & \dots & \dots & \dots \\ \dots & \dots & \dots & \dots \\ \Delta(\tau_{\sigma_k}, \tau'_{\sigma_1}) & \dots & \dots & \Delta(\tau_{\sigma_k}, \tau'_{\sigma_1}) \end{bmatrix}$$

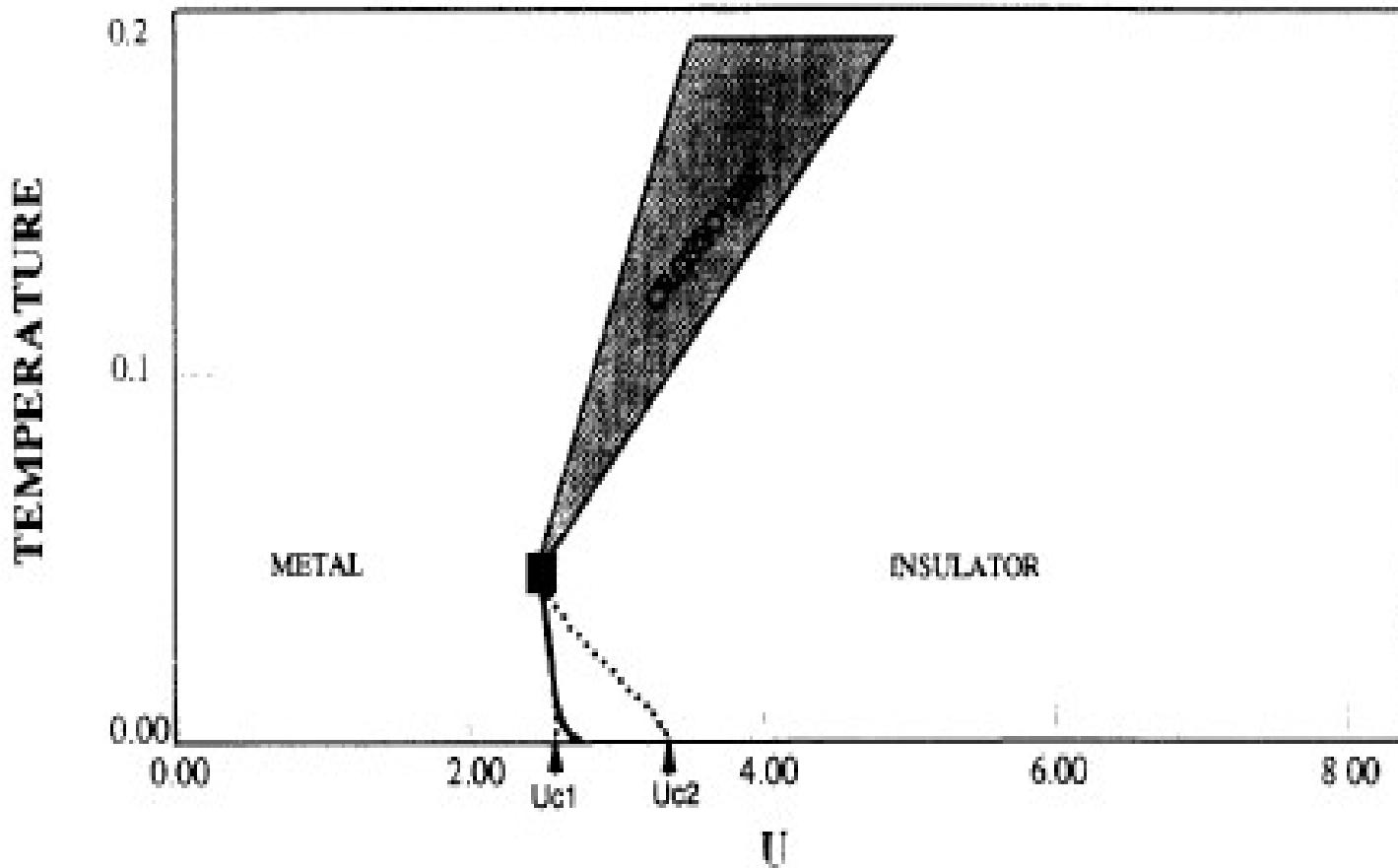
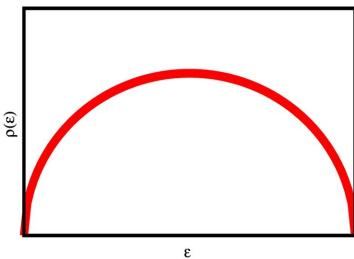
↓

$$X \equiv k, \tau_1, \sigma_1, \tau'_1, \sigma'_1 \dots \tau_k, \sigma_k, \tau'_k, \sigma'_k,$$

$$\int_X A(X) p(X) \xrightarrow{\hspace{10em}} \frac{1}{N_{MC}} \sum_{i=1}^{N_{MC}} A(X_i)$$

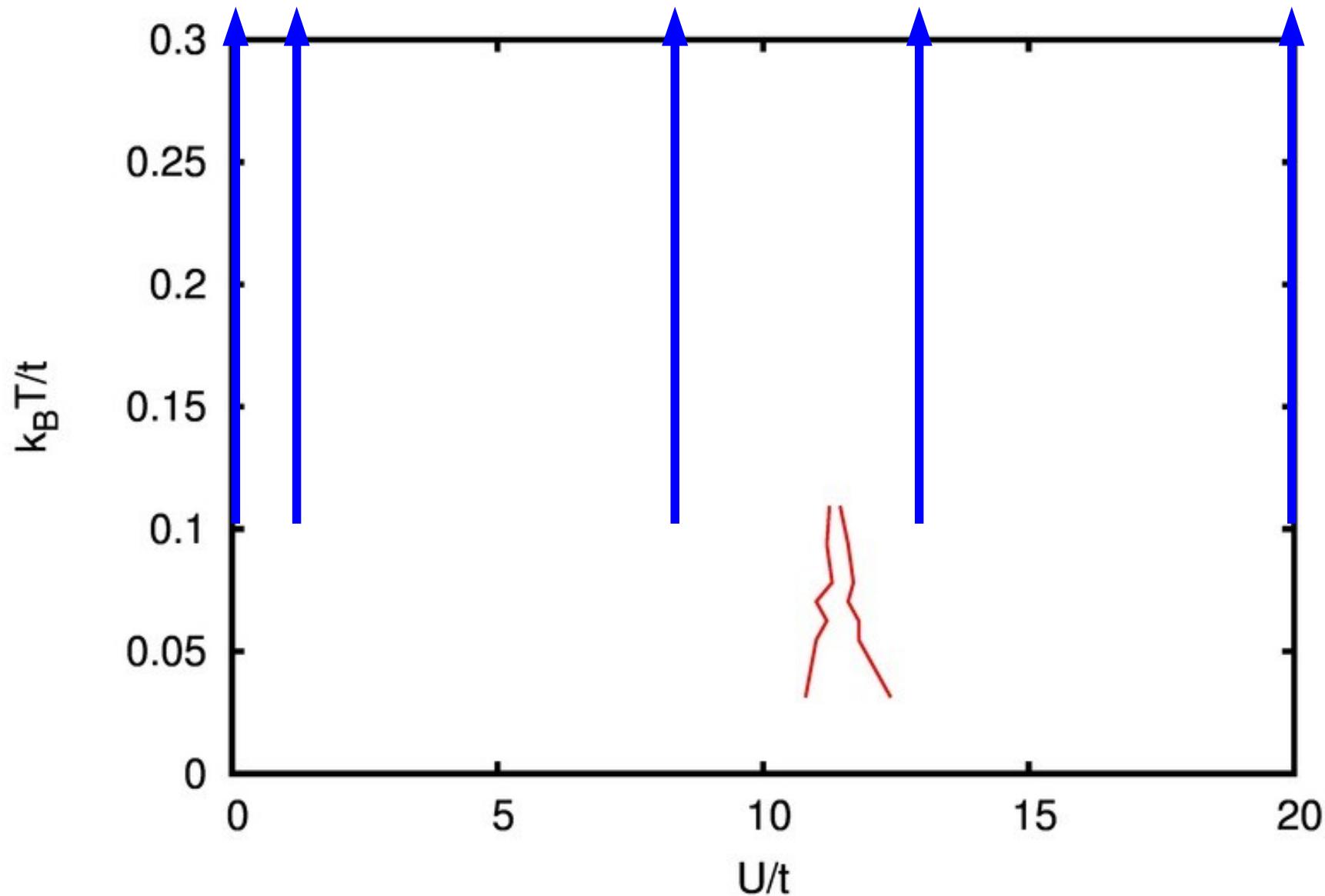
# Paramagnetic phase diagram – (semi-circular dos)

DOS:



M.J. Rozenberg, G. Kotliar, X.Y. Zhang, PRB 49,10181 (1994)

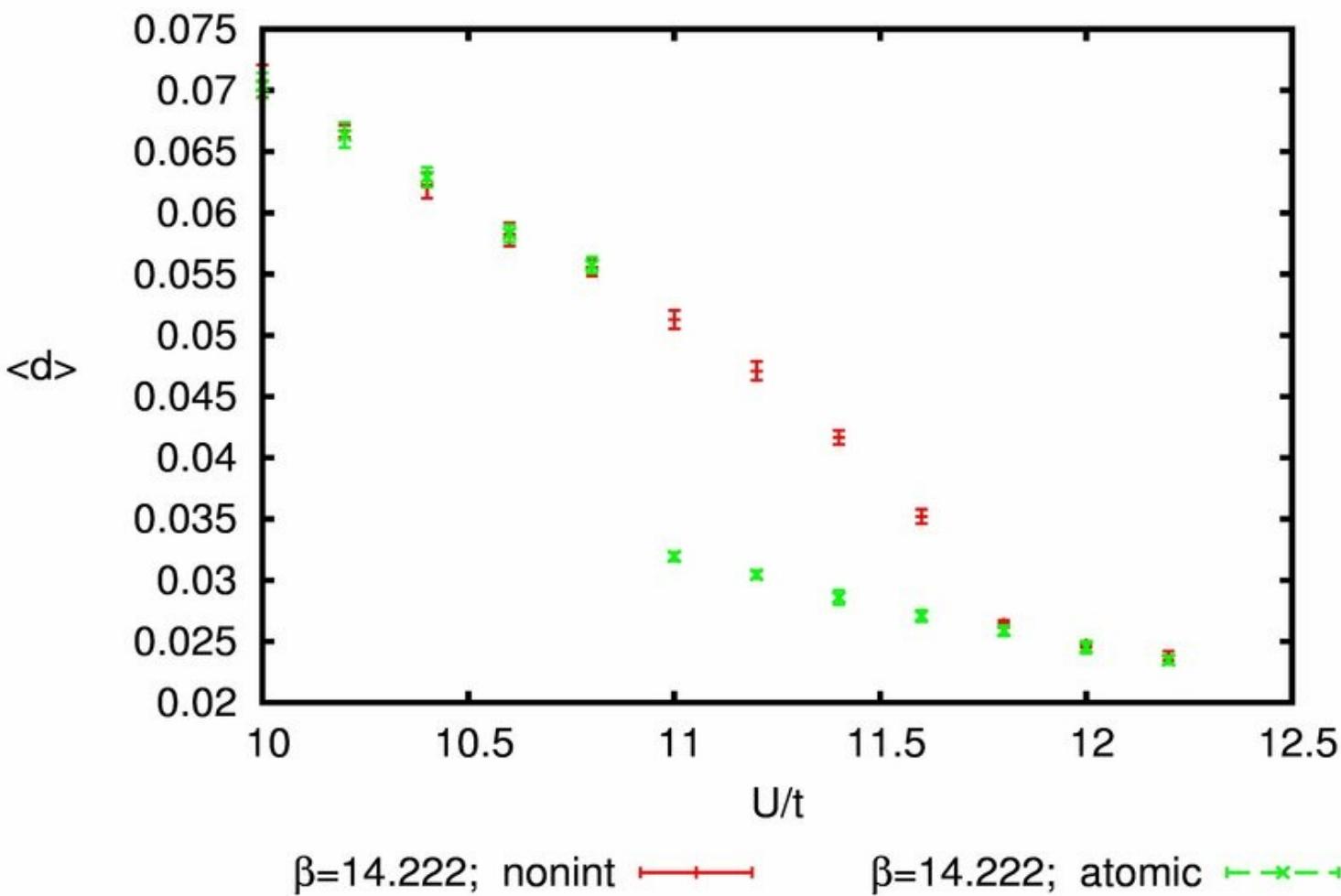
## Results – phase diagram



$$k_B T_c \approx 0.1t \approx 0.083W$$
$$U_c \approx 11.3t$$

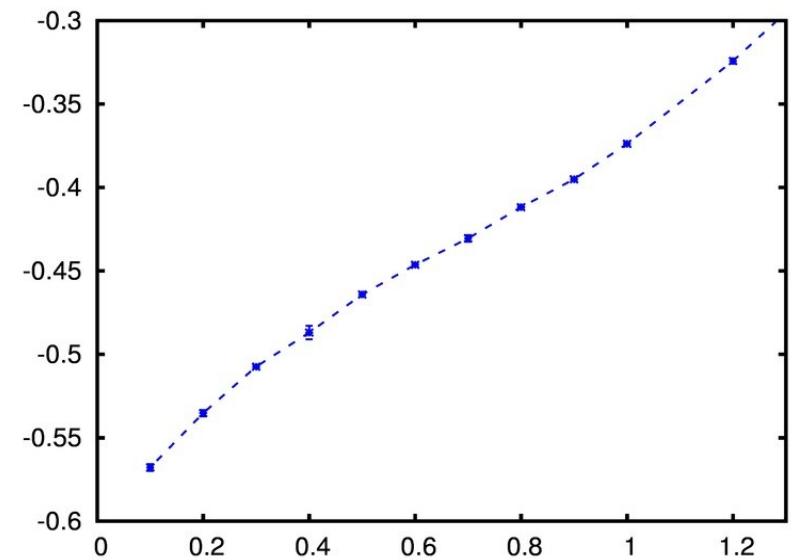
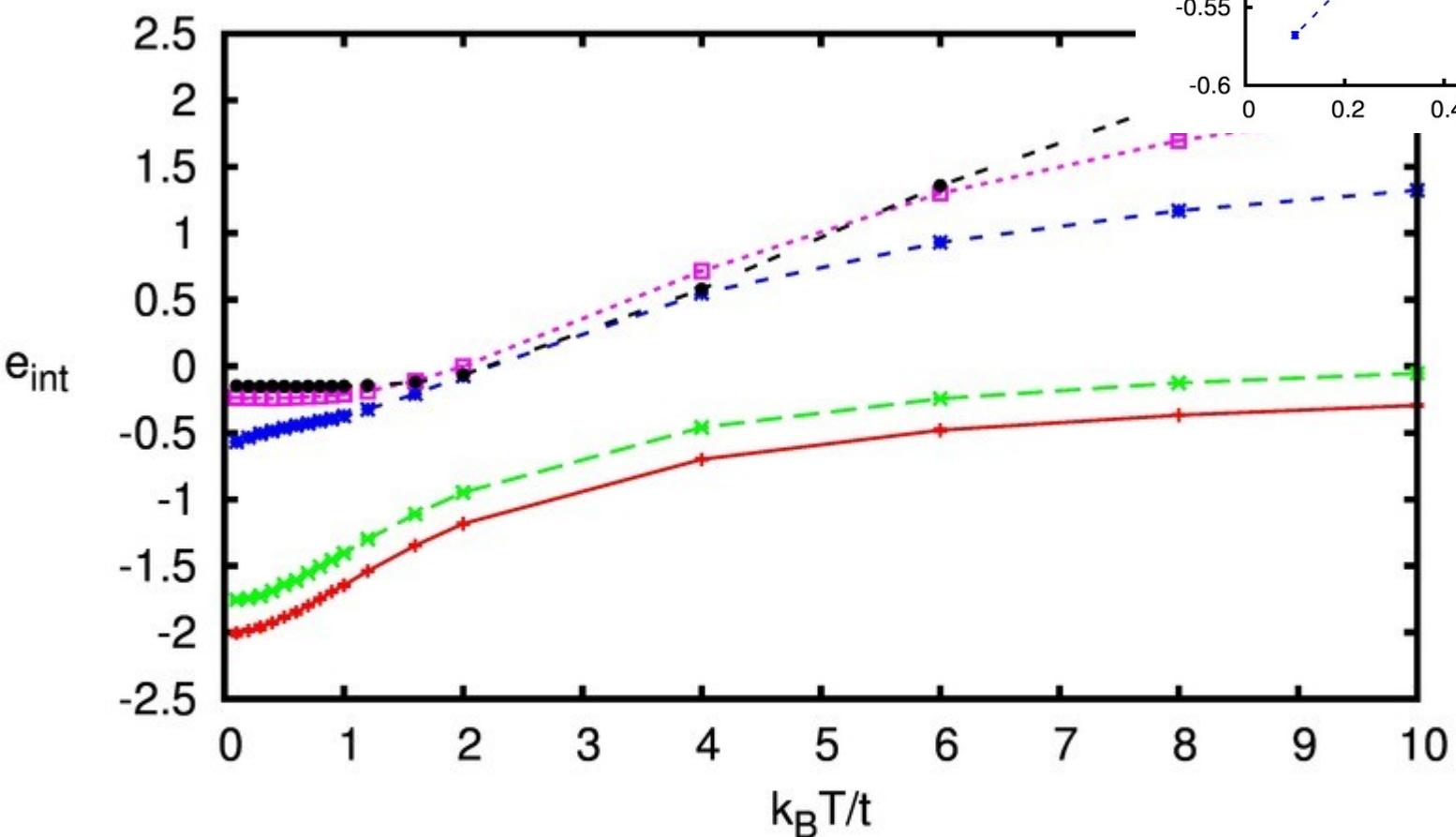
# Results Example of determination of coexistence region

$$d \equiv \lim_{\infty} \frac{1}{N} \left\langle \sum_i \hat{n}_{i\uparrow} \hat{n}_{i\downarrow} \right\rangle$$



$$\beta = \frac{t}{k_B T}$$

## Results – internal energy

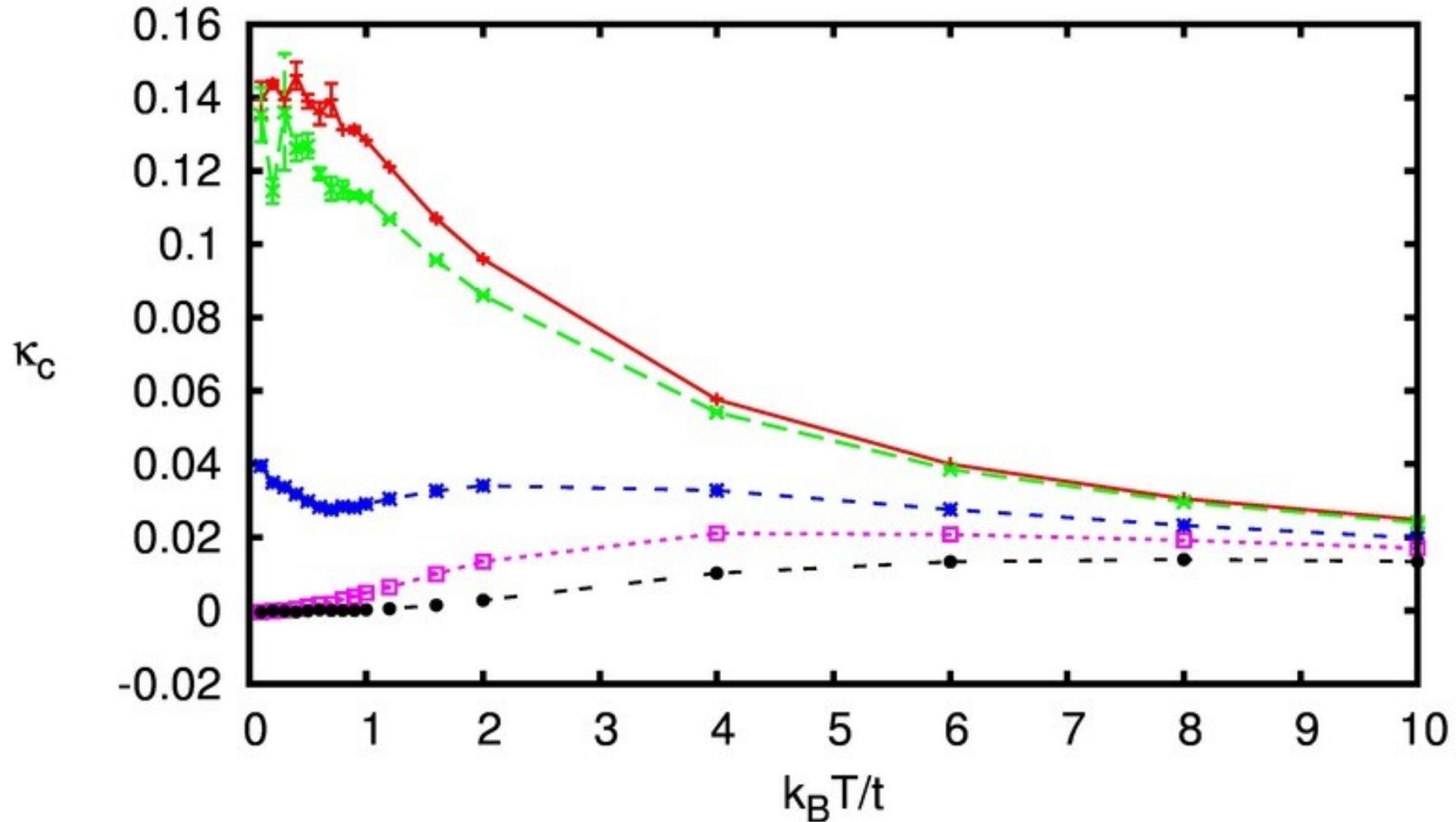


Legend:

$U=0$	Red solid line	$U=8$	Blue dash-dot line	$U=20$	Black long-dashed line
$U=1$	Green dashed line	$U=13$	Magenta dotted line		Black short-dashed line with circle markers

## Results – charge susceptibility

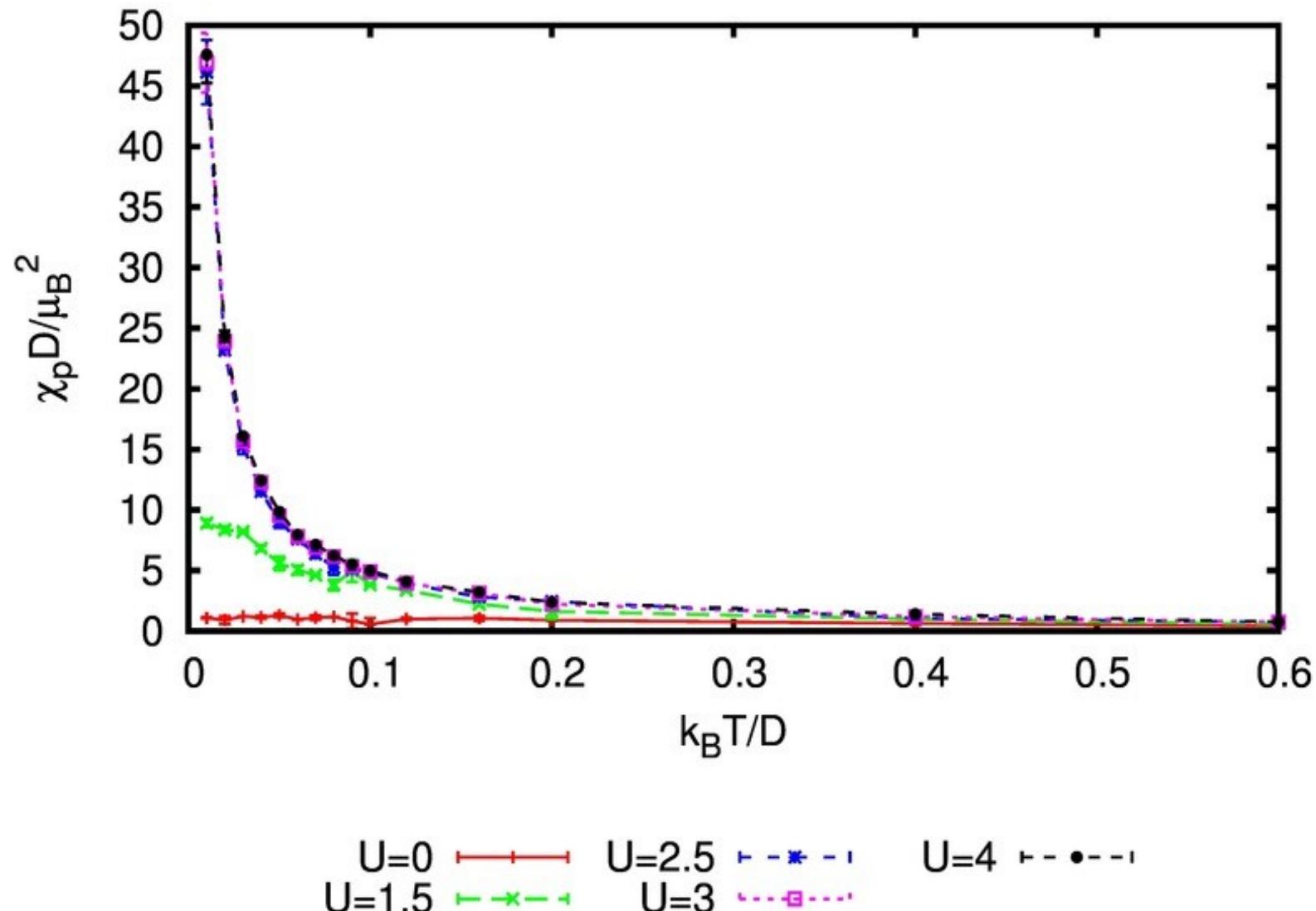
$$\kappa_c = \left( \frac{\partial n}{\partial \mu} \right)_T$$



Legend:  
U=0    —○—    U=8    -·-·-    U=20    ---·---  
U=1    -×-    U=13    -□-

## Results – magnetic susceptibility

$$\chi_p = \left( \frac{\partial m}{\partial B} \right)_T$$



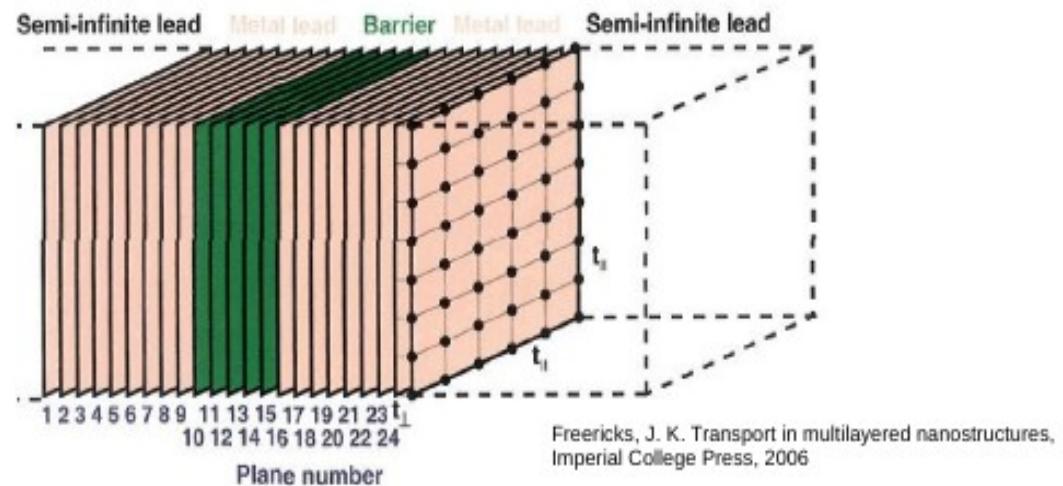
# Summary

Thermodynamic properties in frame of dynamical mean-field approximation

- single impurity problem by CTQMC – test of solver
- thermodynamics of Hubbard model in one place - within one method – as a reference to other methods/systems

Future perspective:

- calculation of other thermodynamic properties also for antiferromagnetic case
- heterostructures (e.g. band metal – Mott insulator – band metal)



Freericks, J. K. Transport in multilayered nanostructures,  
Imperial College Press, 2006